

# **Ethics coupled with Dharma in Governance**

**By**

**S. UMAPATHI., IPS, (Retd)**

**IGP i/c. APPM Revision**

**Email: [umapathi\\_sattaru@yahoo.com](mailto:umapathi_sattaru@yahoo.com)**

**Cell: 9440700900; 040-24593118**

ఎంత సుపాదించినా నీటిబి వాళ్ళకూ,  
బిటా వాళ్ళకూ తెలియకుండా పోతా  
మంత్రమేదైనా ప్రసాదించండి  
సావీత్రి!

Give me a  
boon to hide  
my un-  
accounted  
wealth from  
CBI / IT

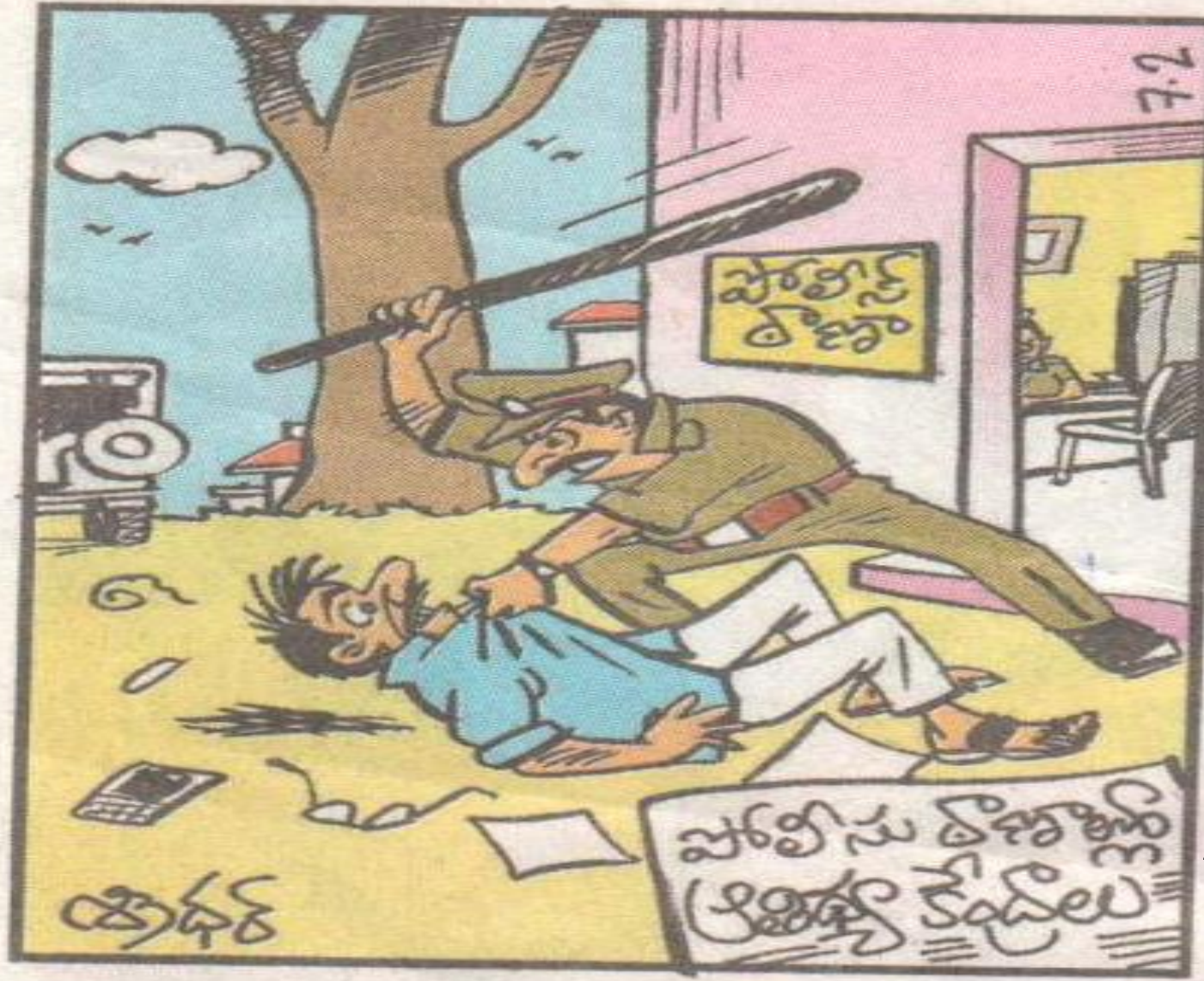




**Union Home Minister  
requests IPS officers to  
make Police Stations as  
Temples of Justice.**

**Officer asks the petitioner to submit dakshina and other gifts at  
the Temple. Courtesy – Eenadu, dt.3-11-2014**





**Reception  
Centres  
opened in  
the Police  
Stations**

**Reception  
Centre is  
inside.  
Bashing  
centre is  
outside.**

ఆతిథ్య కేంద్రం లోపలుందిరా, ఇది మక్కెలి  
రిచే కేంద్రం!

# PM's 12 points agenda for the Govt.

- **Business**
- **Check on inflation**
- **Diplomacy**
- **Power**
- **Urbanisation**
- **Job creation**
- **Defence**
- **Women safety & empowerment**
- **Reaching out to minorities**
- **Poverty elimination – Ek Bharat – Shrestha Bharat**
- **Health Care and Sanitation (Swatchha Bharath Mission)**
- **Upgraded infrastructure**

# **PREAMBLE OF CONSTITUTION**

- **WE, THE PEOPLE OF INDIA**, having solemnly resolved to constitute India into a **SOVEREIGN, SOCIALIST, SECULAR, DEMOCRATIC REPUBLIC** and to secure to all its citizens:
- **JUSTICE**, Social, Economic & Political
- **LIBERTY** of thought, expression, belief, faith and worship and to promote among them all **FRATERNITY** assuring the dignity of the individual and the units and integrity of the Nation
- 26<sup>th</sup> Nov. 1949

**What do you mean by  
Ethics?**

- **Ethics are normal principles that govern a person's or groups behaviour and which a person or group follows strictly.**



**What do you mean by  
Values?**

- **Values define which is right, good and just.**
- **Values provide guidance as we determine the right vs. wrong.**
- **They are our standards**

# Please mention some 'Values'

## ■ Values Your %

✓ **Honesty** ?

✓ **Integrity** ?

✓ **Compassion** ?

✓ **Courage** ?

✓ **Honour** ?

✓ **Responsibility** ?

✓ **Patriotism** ?

✓ **Respect** ?

✓ **Fairness** ?

**Ex : Saturday : Veg. principles / No cash but in kind principle / preacher not a practitioner/want milk but don't feed animal**

- **What do you mean by Trust?**
- **Is being 'Trust-worthy' an important value?**

# **TRUST**

- **Trust defined as reliance on integrity, strength, ability, surety etc, of a person or a thing. Confidence. Trustful to yourself.**
- **Exhibit voice of trust -worthiness**



**Do you like to be honest or corrupt?**

**What are the factors that drift you into the filth of corruption?**

**Corruption is defined as  
misuse of public power  
for private benefit.**

# **Who are the illegitimate children of corruption?**

- ✓ **Discretionary powers**
- ✓ **Black market activity**
- ✓ **Crony capitalism**
- ✓ **Over abundance of Regulation**
- ✓ **Lack of Transparency**
- ✓ **Lack of accountability**
- **More than 62% of people in India paid bribes to get their jobs done in public offices.**

# Quote

- If Governments do not remove **corruption**, violate sacred trust, people will remove Governments.

- *President of India, 2014.*

# **India's rank in Global corruption index of transparency international**

■ Denmark	-	1
■ Australia	-	9
■ Germany	-	12
■ U.K.	-	14
■ China	-	80
■ Sri Lanka	-	91
■ India	-	94
■ Nepal	-	116
■ Russia	-	127
■ Pakistan	-	127
■ Bangladesh	-	136



- **In China, 1,82,000 officials were punished in 2013 for corruption.**
- **“Corruption is an enemy to the nation and tracking down corrupt public servant, howsoever high he may be and punishing such public servant is necessary mandate under the P.C. Act, 1988”**

**- May 20<sup>th</sup> Supreme Court of India**

**Contd...**

**“All Government officials have to be treated equally and have to face the same process of inquiry in graft charges”**

**- Supreme Court**

## **VS Pandey, IAS vs. State of U.P. (2010)**

- **Pandey was served a charge sheet by UP Govt. on five counts for alleged violation of All India Service (Conduct) Rules, 1968 for being a signatory to an NGO - Indian Rejuvenation Initiative) in filing a WP.**
- **Pandey was exonerated after enquiry in Aug. 2012. UP Govt. orders for re-enquiry.**
- **Pandy's appeal in CAT dismissed.**
- **Goes to Supreme Court**

- **Pandey's petition allowed and costs awarded to him. SC's comment:**
- **"The requirement of a Democratic Republic is that every action of the State is to be informed with reason.**
- **State is not a hierarchy of regressively genuflecting coterie of bureaucracy.**
- **Civil Servant independent in the country is at best nominal.**
- **Bureaucrat needs freedom to speak his mind in furtherance of a good governance"**

# Lessons Learnt

- The Courts, the people expect you to be courageous Civil Servant, able to speak your mind for good governance, be fearless in your legitimate actions, not to be coerced into condemnable passivity.

-Supreme court

- Be bold. You are the creator of your own destiny.

- *Swamy Vivekananda*



## **Do remember 360<sup>0</sup> surveillance on you?**

- **Apex Courts**
- **Watch dog institutions**
- **Vigilance – ACB / CVC**
- **NGOs & Amnesty international**
- **Whistle blowers**
- **P.I.Ls.**
- **Human Rights**
- **Civil Liberties Organisations**

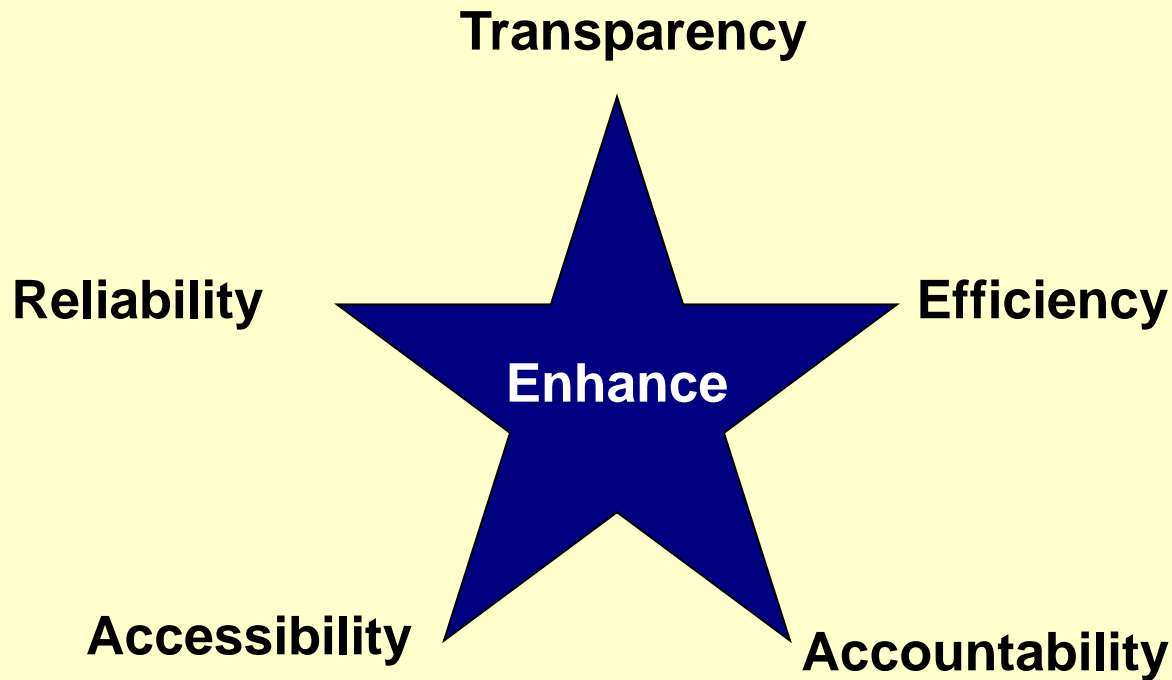
**Is there any solution?**

**What is your value  
time?**

- **Yes. Recent legislation of “Right to Citizens to Time Bound Delivery of Services Act & Similar Acts incl. RTI Act**
- **While 16 States including Jharkhand and Chhattisgarh have enacted similar Acts, A.P. is yet to enact. Now AP / Telangana States have to do so.**

**Why these Acts?**

- To improve functioning of various **Depts** and increase their accountability





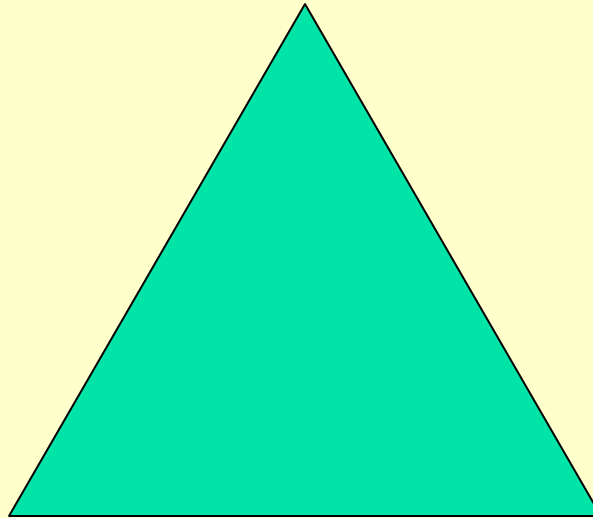
**What do you mean by  
'Integrity'?**

- Integrity is an adherence to moral and ethical principles, soundness of moral character.
- Principle of being an Acharya not just a teacherss

# Integrity

- ✓ The quality honesty and trustworthiness

**Responsibility for  
their actions**



**Loyal, dependable,  
not deceptive.**

**Inspires confidence  
in others**

**What do you mean by**  
**‘Quid pro Quo’?**

**What do you mean by  
'Crony Capitalism'?**

- **Crony Capitalism** is a term describing an economy in which success in business depends on **close relationships between business people and Government.**

**Why do you mean by  
‘Rent-seeking’**

- **Rent-seeking is what economists call a special type of money-making. The sort made possible by political connection.**



**This can range from**

- ✓ **Out-right graft to preclude competition**
- ✓ **Poor-regulations – supervising authorities**
- ✓ **Transfer of public assets to firms at bargain price.**
- ✓ **Well-placed people have made fortunes in this way.**
- **Sectors : Mining, Oil, Gas, Banking.**

## Over Prime Minister's Quote

**“Government is that which thinks of the poor**

**Listens to the poor**

**and**

**Exists for the poor”**

# The malady

- **System of 19<sup>th</sup> century mindset**
- **20<sup>th</sup> century Govt. process**
- **For meeting 21<sup>st</sup> century needs of people.**
- **Therefore be a change maker**
- **Ensure Govt. employees take Governance to last mile-for the poorest of the poor in the remotest corner of our country**

# Over Prime Minister's initial 7 point programmes

- ✓ **Urbanisation:** Building 100 world class cities with 24x7 power supply.
- ✓ **Economy:** by taming inflation, especially food, accelerate growth, boost investment.
- ✓ **Environment:** → promote cleaner fuels
  - Clear policies for allocation of natural resources
  - Steps to clean **Ganga River**
- ✓ **Tourism:** → Mission to create 50 tourist circuits
  - Encouraging pilgrimage tourism.

- ✓ **Education:** IITs/IIMs/AIIMS – like hospitals in every State. New National health Policy. National Health Assurance Mission.
- ✓ **Governance:** Eliminate obsolete laws  
Converge ministries  
e-Governance, social media.

- ✓ **Governance:** “The manner in which power is exercised in the Management of a Country’s economic and social resources for development”.

# Concept of less Govt. and more Governance

- *It is the duty of Govt. to make it difficult for people to do wrong.*
- *It is the duty of Governance to make it easy for people to do right*
- **Need RIGHT-BRIGHT-UPRIGHT officers in the right place.**
- **Need massive convergence in infrastructure, industries which help envisage holistic development.**
- **Two crore Govt employees can do this, provided you provide ethical Leadership.**

# Transformational leaders

- Transformational leaders will be judged not merely by the style of their speeches but more by substance of their actions
- In **Global Hunger Index** (international food policy research institute) India is placed in 55<sup>th</sup> rank. (China 5<sup>th</sup>, Sri Lanka: 39<sup>th</sup>, Pak and Bangladesh (57<sup>th</sup>).
- Hidden hunger weakens immune system, stunts physical, intellectual growth,, prone to diseases, loss of productivity, economic growth.
- Be a transformational ethical officer to pave a better tomorrow for have-nots.



- **What is your **resolve** in serving have-nots?**

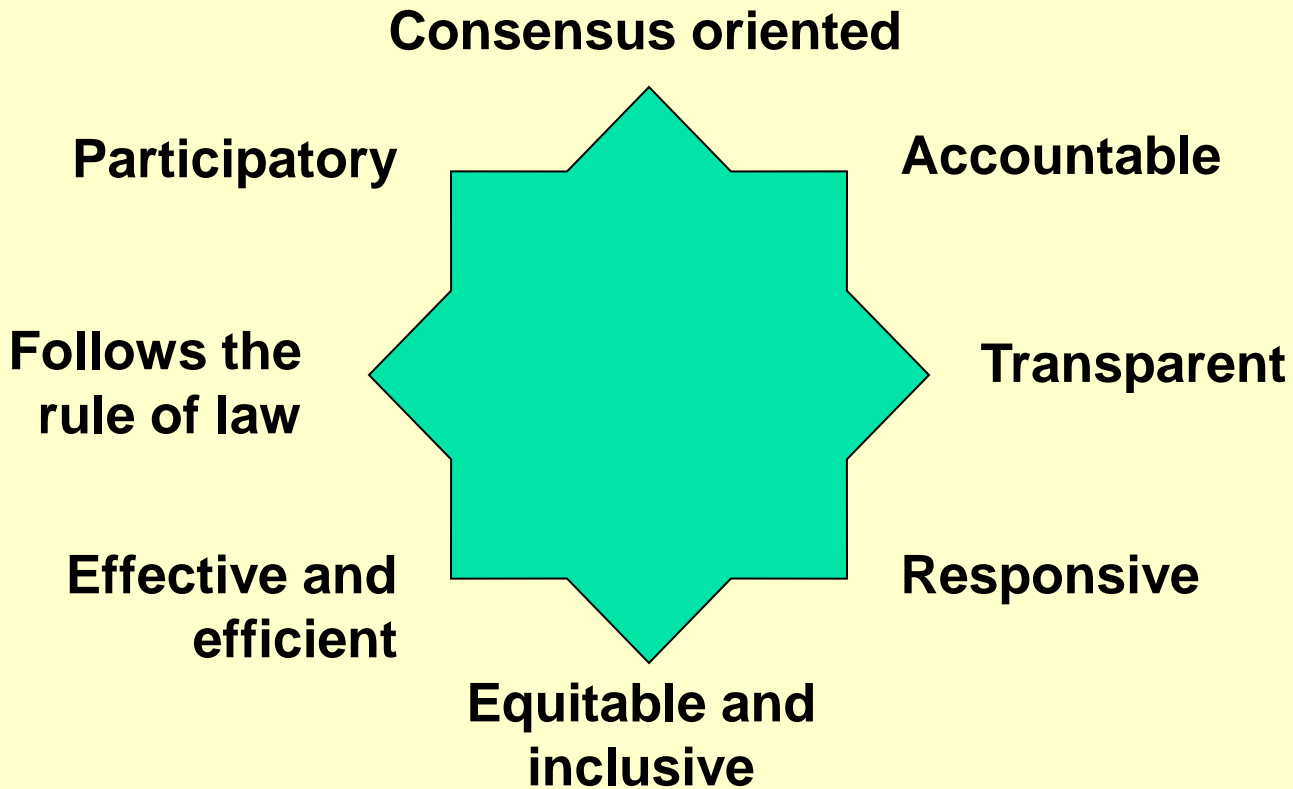
## **Be a partner in creating SMART cities**

- **Smart cities as part of a sustainable society in which people live, work, and play in safety and comfort while co-existing in harmony with environment.**
- **Investments in human and social capital, traditional and modern communication, infrastructure, fuel sustainability, economic development and a high quality life, with a wise management of natural resources thro **participatory action** and engagement.**

✓ **Essence of Good Governance:**

**Good Governance is described as predictable, open and enlightened policy, together with a bureaucracy imbued with a professional ethos and an executive arm of Government **accountable** for its actions.**

# Good Governance



**Are you satisfied with  
your job?**

# National Contentment Rankings 2013

<b>Denmark</b>	<b>-</b>	<b>1</b>
<b>Norway</b>	<b>-</b>	<b>2</b>
<b>Switzerland</b>	<b>-</b>	<b>3</b>
<b>Netherlands</b>	<b>-</b>	<b>4</b>
<b>Sweden</b>	<b>-</b>	<b>5</b>
<b>China</b>	<b>-</b>	<b>93</b>
<b>Pakistan</b>	<b>-</b>	<b>81</b>
<b>Bangladesh</b>	<b>-</b>	<b>108</b>
<b>India</b>	<b>-</b>	<b>111</b>
<b>Afghanisatn</b>	<b>-</b>	<b>143</b>

# Yardsticks for judging Governance?

- ✓ **Accountability**
- ✓ **Transparency**
- ✓ **Rules of Law (Fair/predictable, legal framework)**
- ✓ **Participation**

# **Service delivery standards across the state of A.P. & Telangana**

- ✓ **21543 Panchayats**
- ✓ **109 Municipalities**
- ✓ **15 Corporations**



# **Can the Govt. do all the things at Govt. level or should we not make people responsible to do their things?**

- ✓ **Mana Badi**
- ✓ **Mana Village**
- ✓ **Mana Town'**
- ✓ **Mana Cheruvu**
- ✓ **Mana drainage**
- ✓ **Mana Nadi**
- ✓ **Develop ownership of the villagers/Towns**

# Road Map for You

- ✓ Display a 'Can-do' attitude and exhibit honest to the core attitude.
- ✓ Follow self denial principle.
- ✓ Living within the means.
- ✓ Be enthusiastic
- ✓ Walk the extra mile at work
- ✓ Take responsibilities
- ✓ Take ownership of problems
- ✓ Introduce innovations / improvements
- ✓ Learn new skills

# Contd..

- ✓ Cooperate and collaborate
- ✓ Be self-reflective
- ✓ Be a good listener (Hearing, comprehending, evaluating, responding)
- ✓ Be a trust-worthy-person

## Graduate from

- ✓ a talker to doer
- ✓ Doer to a performer
- ✓ Performer to an achiever
- ✓ Achiever to a trend-setter
- ✓ Trend-setter to a reformer

**Contd..**

**Be proud to be an Indian and  
make India proud of you**

***“ It is not the size of the tree,  
but the depth of its roots that  
makes it strong”***

# KEY SUCCESS FACTORS

- ✓ Educate
- ✓ Empower
- ✓ Delegate
- ✓ Supervise
- ✓ Assess
- ✓ Re-engineer

# **We, in Government should..**

- ✓ **Plan purposefully**
- ✓ **Prepare prayerfully**
- ✓ **Proceed positively**
- ✓ **Pursue persistently**



# Stand before Mirror,



# Conquer yourself

**WE ARE OUR  
THOUGHTS**



**OUR THOUGHTS MAKE  
OUR LIFE**

**Thoughts**

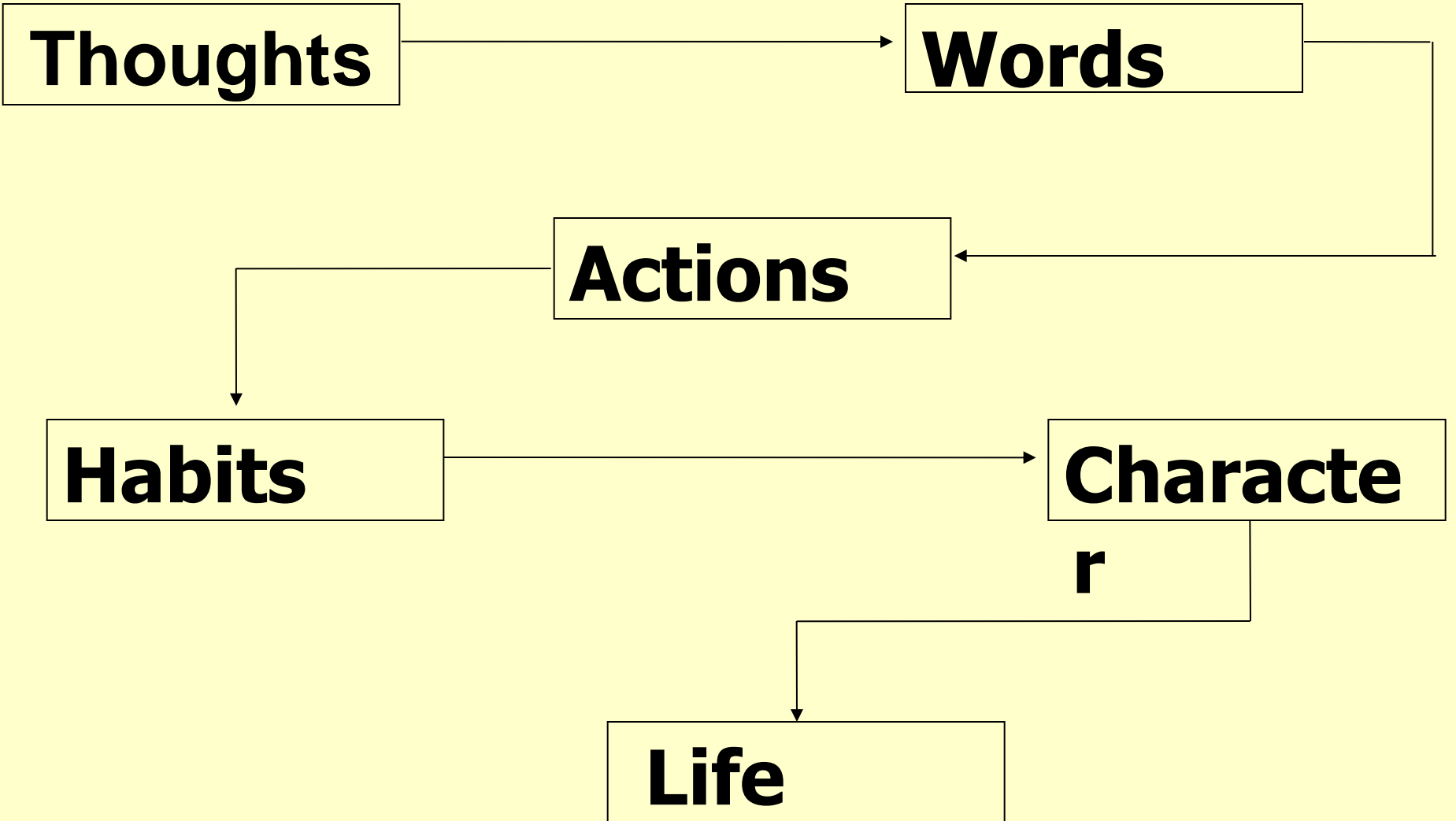
**Words**

**Actions**

**Habits**

**Character**

**Life**



# THOUGHTS

❖ POSITIVE

❖ CONSTRUCTIVE

❖ CO-OPERATIVE

❖ CREATIVE

❖ OPTIMISTIC

❖ HELPFUL

❖ SUCCESS-SECURING

# WORDS

❖ CLEAR

❖ COMPLETE

❖ CONVINCING

❖ COMMUNICATIVE

❖ POWERFUL

❖ EFFECTIVE

# ACTIONS

❖ CONSTRUCTIVE

❖ DECISIVE

❖ POWERFUL

❖ EFFECTIVE

❖ POSITIVE

❖ RESULT ORIENTED

# **When asked what surprised him most about humanity – Dalailama says ..... MAN**

- **Man! Because he sacrifices his health in order to make money.**
- **Then he sacrifices money to recuperate his health.**
- **And then he is so anxious about the future that he does not enjoy the present.**
- **The result being that he does not live in the present or the future.**
- **He lives as if he is never going to die and then dies having never really lived.**

# Personal life is more demanding How you make it...

- Obtain a Masters Degree in MS (SM)
- **Spouse Management**



# Tama Soma Jyotirgamaya

